

Compliance Monthly

The CAA Compliance Staff is dedicated to providing its member institutions with comprehensive Rules Compliance Services and Support. We hope that you find the CAA monthly newsletters to be useful and we welcome your feedback and ideas for new content.

Recognition of Accomplishments in a Particular Contest or Event

Question

Michael Smith is a men's basketball student-athlete at Compliance University. During the Saturday afternoon game, Michael scored 26 points, 9 assists, and 1 block against one of the best schools in the conference. The head coach would like to give Michael the game ball for his great performance in the game. Would this be permissible for the head coach to do?



Answer

Yes, this would be permissible for the head coach to do.

NCAA Staff Interpretation (9/3/13), Recognition of Accomplishments in a Particular Contest or Event, states that an institution may provide a memento of nominal value (e.g., game ball, t-shirt, hat, etc.), which may not include cash or cash equivalents, to a student-athlete in recognition of an accomplishment in a particular contest or event as a benefit incidental to participation.

[References: 16.1.4 (types of awards, awarding agencies, maximum value and numbers of awards) and a staff interpretation (11/27/96, item a), which has been archived]



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CAA Compliance Staff

Kathleen Batterson

Senior Associate Commissioner

kbatterson@caasports.com

804-525-1213

Donna Jones

Assistant Director of Compliance & Student-Athlete Services

djones@caasports.com

804-545-7229

8625 Patterson Ave.
Richmond, VA 23229

Visit the Compliance Corner on
www.CAASports.com

Counting Contacts and Evaluations (NCAA Bylaw 13.1.5.5)

Evaluations that occur during the academic year count against the permissible number of recruiting opportunities, except for evaluations that occur on the same day as a permissible contact. Outside of the academic year, evaluations do not count against the annual number of recruiting opportunities. Contacts that occur with a prospective student-athlete count against the permissible number of total recruiting opportunities regardless of the time period (e.g., academic year or outside the academic year). All contacts and evaluations are subject to recruiting calendar restrictions. *(Revised: 10/30/12)*

Prospective Student-Athlete's Educational Institution (NCAA Bylaw 13.1.6.1)

Any staff member desiring to contact a prospective student-athlete at the prospective student-athlete's high school, preparatory school or two-year college first shall obtain permission for such contact from that institution's executive officer (or the executive officer's authorized representative). Contact may be made only when such permission is granted and, in basketball, may not be made during the time of the day when classes are in session. Institutions also are bound by this provision when recruiting international prospective student-athletes. **[D]** *(Revised: 8/5/04, 10/27/11 effective 8/1/12, 1/19/13 effective 8/1/13)*

Question

The Compliance University Volleyball team is hosting on their campus two teams this weekend. The schedule is:



Compliance University will play Team A Friday night

Team A will play Team B on Saturday afternoon

Compliance University will play Team B Sunday afternoon.



The Compliance University coaches would like to scout during Saturday's game. Is this permissible?

Answer

Yes, NCAA Staff Interpretation- On-Campus, In-Person Scouting of Opponents (I) Date Published: September 9, 2013

The academic and membership affairs staff confirmed that the prohibition against off-campus, in-person scouting of opponents is not applicable to an institution's coaching staff in a situation in which a competition involving a future opponent occurs on the coaching staff's campus.

[References: NCAA Bylaw 11.6.1 (off-campus, in-person scouting prohibition; staff interpretation (09/15/10), which is archived; and official interpretation (12/12/94, Item No. 18), which is archived]

Enrolled Student Tryouts (I)

Date Published: September 4, 2013

Item Ref: 1

Educational Column:

NCAA Division I institutions should note that a tryout of an enrolled student may occur, provided the student is eligible for practice and the tryout involves activities that are permissible at the time they occur. The following questions and answers are intended to assist the membership as it relates to the specific parameters for enrolled student tryouts during and outside the playing and practice season. In addition, other opportunities to observe enrolled students are noted.

Question No. 1: Does a student need to be certified as eligible for practice to engage in a tryout?

Answer: The student must be registered as a full-time degree-seeking student, or meet a legislated exception, and be otherwise eligible to engage in countable athletically related activities. However, to the extent that eligibility has not been determined (e.g., the student has not registered with the NCAA Eligibility Center, a final Eligibility Center initial-eligibility determination has not been rendered, the student is a two-year college transfer) the student may still engage in countable athletically related activities for a 45-day period while certification is pending. This 45-day period would not be applicable for a student who is clearly ineligible (e.g., a freshman student who has never taken the SAT or ACT) and only one temporary certification period is available per student.

Question No. 2: Does a student need to fill out the Drug-Testing Consent Form and be added to the squad list to engage in a tryout?

Answer: A student who is trying out for a team is not required to fill out the Drug-Testing Consent Form and the institution is not required to add such a student to the squad list form for 14 days from the first date the student engages in countable athletically related activities or until the institution's first competition, whichever occurs earlier.

Question No. 3: What types of activities may a student engage in as part of the tryout?

Answer: The student may engage in any activities in which it would be permissible for student-athletes to engage, subject to the same in-season or out-of-season weekly and daily hour limitations applicable to student-athletes. For purposes of skill-related instruction restrictions, each student involved in the activity must be included in the limit of four participants, if applicable.

Question No. 4: May a tryout be advertised? May it be viewed by the general public?

Answer: A tryout may be advertised unless it occurs outside of the playing and practice season and will include skill-related instruction in addition to conditioning activities. Skill-related instruction outside of the playing and practice season may not be publicized or conducted in view of the general public.

Question No. 5: Are there other observation opportunities or restrictions for the coaching staff?

Answer: NCAA playing and practice session legislation does not preclude a coach from attending or observing organized competition involving enrolled students and/or student-athletes, provided the coach does not direct or supervise the organized activity.

However, a coach is not permitted to observe enrolled students or student-athletes in nonorganized sport-specific competition (e.g., "pick-up" basketball games) outside the playing season.

[References: NCAA Division I Bylaws 12.1.1.1.3.1 (temporary certification), 14.1.4.3 (exception -- 14-day grace period), 14.3.5.1 (participation prior to certification), 14.5.4.5.7 (participation prior to certification), 17.02.1.1 (countable athletically related activities), 17.02.12 (tryouts -- enrolled students), and 17.1.6 (time limits for athletically related activities); and staff interpretations (02/04/04, Item No. 1 and 04/26/13, Item No. c)]



**November
Newsletter
Preview:**

**National Letter
of Intent
(November 13,
2013 signing
period) for all
sports except
Field Hockey,
Track & Field,
Cross Country,
and Football.**

NCAA Bylaw 13.14.3.2 Sports Other Than Basketball and Football

In sports other than basketball and football, an institution may subscribe to a recruiting or scouting service involving prospective student-athletes, provided the service is made available to all institutions desiring to subscribe and at the same fee rate for all subscribers. The service must also provide information regarding each prospective student-athlete in a standardized format that ensures consistent distribution to all subscribers. (Live or individualized oral reports do not satisfy this requirement.) An institution is permitted to subscribe to a service that provides scholastic and/or nonscholastic video. The institution may not contract with a service in advance to have a particular contest recorded or provided. **[D]** (*Adopted: 1/14/12, Revised: 7/26/12*)

NCAA Bylaw 13.14.3.3 Subscription Limited to Approved Services -- Basketball and Football

In basketball and football, an institution shall not subscribe to a recruiting or scouting service unless the service has been approved by the NCAA pursuant to an annual approval process. **[D]** (*Adopted: 1/14/12 effective 6/1/12*)

NCAA Official Interpretation

Subscription to Recruiting or Scouting Services that Provide Oral Reports Related to Prospective Student-Athletes (I)

Date Published: September 20, 2013

Item Ref: 1

The committee confirmed that an institution is not permitted to subscribe to a recruiting or scouting service that provides oral reports related to prospective student-athletes regardless of whether the service also disseminates information related to prospective student-athletes in any other manner (e.g., published reports, online profiles, video, etc.).

[References: NCAA Division I Bylaws 13.14.3 (recruiting or scouting services), 14.13.1 (basketball and football), 13.14.3.1.1 (video-only services), 14.13.3.2 (sports other than basketball and football) and 13.14.3.3 (subscription limited to approved services – basketball and football)]

NCAA Staff Interpretation

Use of Service or Software that Converts Electronic Mail into a Text Message -- Sports Other Than Basketball (I)

Date Published: September 9, 2013

Item Ref: b

Interpretation:

The academic and membership affairs staff confirmed that, in sports other than basketball, it is not permissible for institutional staff members to use a service or software that converts electronic mail (e.g., email) sent by an institutional staff member into a text message when received by the prospective student-athlete.

[References: NCAA Division I Bylaws 13.4.1.2 (electronic transmissions) and 13.4.1.2.1 (exception -- basketball); and an official interpretation (7/11/07, Item No. 2) which has been archived]



October Recruiting Calendars

Note: This is a color coded visual of the recruiting calendars, please consider this when printing.



Contact Period



Quiet Period



Evaluation Period

Cross Country/Track &Field

Sun	Mo	Tue	We	Th	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Men's Basketball

Sun	Mo	Tue	We	Th	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Women's Basketball

Sun	Mo	Tue	We	Th	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

MBB— Recruiting Period

Women's Volleyball

Sun	Mo	Tue	We	Th	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Softball

Sun	Mo	Tue	We	Th	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Baseball

Sun	Mo	Tue	We	Th	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Softball— In those states that play high school softball season in the fall, evaluations shall be permissible during those seasons, except during dead periods.

Men's Lacrosse

Sun	Mo	Tue	We	Th	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Women's Lacrosse

Sun	Mo	Tue	We	Th	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

MLA— Contact period/ No evaluations